

## United States Patent and Tradema

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/989,708

11/21/2001

Kim Tutin

005242.00032

22907 **BANNER & WITCOFF** 1001 G STREET N W **SUITE 1100** WASHINGTON, DC 20001 **CONFIRMATION NO. 9582** 

**FORMALITIES LETTER** 

OC000000007152914

Date Mailed: 12/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$522.
  - \$522 for 29 total claims over 20.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The balance due by applicant is \$ 1392.

04/08/2002 SDENBOB1 00000002 19073 A copy of this notice MUST be returned with the reply.

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

U.S. Patent and Trad Under the Paperwork Reduction Act of 1994, no persons are required to respond to a collection of information

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FEE TRANSMITTAL	Complete if Known					
<b>\</b>	Application Number	09/989,708				
<sub>ស្តេ ០</sub> េក្សា jan FY 2002	Filing Date	November 21, 2001				
Palent fees are subject to annual revision.	First Named Inventor	Kin Tutin et al.				
The sale subject to allitual tevision.	Examiner Name	Unknown				
TApplican claims small entity status. See 37 CFR 1.27	Group / Art Unit	Unknown				
TOTAL AMOUNT OF PAYMENT (\$) 400	Attomey Docket No.	005242.00032				

				Aut	iney Doc	NET TIO.				
METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)						
		3. A	3. ADDITIONAL FEES							
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order  ☐ Deposit Account:		Lan	Large Entity   Small Entity							
Deposit Acco	uni:			Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Account	19-0733		ŀ	105	130	205	65	Surcharge - late filing fee or oath		
Number			127	50	227	25	Surcharge - late provisional filing fee or cover sheet.			
Deposit				139	130	139	130	Non-English specification		
Account Banner & Witcoff, Ltd.		147	2,520	147	2,520	For filing a request for reexamination	$\vdash$			
Name The Commission	ner is authorized	to: (check all	that apply)	112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
Charge fee(s) Charge any a	indicated below [ditional fee(s) duri	Credit any ing the pende	overpayments	113	1,840*	113	1,840*			
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.			115	110	215	55	Extension for reply within first month			
to the above-iden		.CULATION		116	400	216	200	Extension for reply within second month	400.00	
BASIC FII	ING FEE		· · · · · · · · · · · · · · · · · · ·	117	920	217	460	Extension for reply within third month	$\vdash$	
Large Entity	Small Entity			118	1,440	218	720	Extension for reply within fourth month		
	Fee Fee <u>Fe</u> Code (\$)	e Description	! Fee Paid	128	1,960	228	980	Extension for reply within fifth month		
· · · · · ·		ility filing fee	1001010	119	320	219	160	Notice of Appeal		
4		esign filing fee		120	320	220	160	Filing a brief in support of an appeal		
		ant filing fee		121	280	221	140	Request for oral hearing		
	208 370 Re	eissue filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding		
114 160	214 80 Pro	ovisional filling	fee	140	110	240	55	Petition to revive – unavoidable		
SUBTOTAL (1) (\$) 0		141	1,280	241	640	Petition to revive – unintentional				
			[_(\$) \$	142	1,280	242	640	Utility issue fee (or reissue)		
2. EXTRA CLAIN				143	460	243	230	Design issue fee	$\vdash$	
			ee from Fee	144	620	244	310	Plant issue fee	<del></del>	
Total Claims	= [6		elow Paid	122	130	122	130	Petitions to the Commissioner		
Independent	룩 '뜯	<b></b>	==  ⁻  ==	123	50	123	50	Processing fee under 37 CFR 1.17 (q)	<b></b>	
Claims	" = 0	×	= 0	126	180	126	180	Submission of Information Disclosure Stmt		
Multiple Dependent Large Entity	_ Small Entity	x [	= 0	581	40	581	40	Recording each patent assignment per property (times number of		
Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Descrip	tion	146	740	246	370	properties) Filing a submission after final rejection	$\vdash$	
103 18	203 9	Claims in exc	cess of 20	149	740	249	370	(37 CFR § 1.129(a)) For each additional invention to be		
102 84	202 42	•	claims in excess of 3					examined (37 CFR § 1.129(b))		
104 280 109 84	204 140 209 42		endent claim, if not paid dependent claims over	179	179 740 279 370 Request for Continued Examination (RCE)					
		original pater	nt aims in excess of 20 an	169	169 900 169 900 Request for expedited examination of a design application					
110 18	210 9	over original		Other fee (specify)						
	SUB	TOTAL (2)	(\$) 0				<b>5 6</b>			
**or number prev	iously paid, if great	ter: For Reiss	iles see ahove	Hed	uced by Ba	asic Filing	Fee Pa	id SUBTOTAL (3) (\$) 400		
or manner prev	coo.y paio, ii gica	, 1 01 116133	ues, see above							

SUBMITTED BY Complete (if applicable)									
Name (Print/Type)	William F. Rauchholz	Registration No. Attorney/Agent)	34,701	Telephone	202-508-9100				
Signature	will-	7. Hught		Date	4/4/02				

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.